FORM PTO-1390 (REV. 11-2000)

U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

20076.73

ATTORNEY 'S DOCKET NUMBER

U.S. APPLICATION NO (If known, see 37 CFR 1.5

ONTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US01/07815 12 March 2001 March 11, 2000 TITLE OF INVENTION BIOREACTOR FOR GENERATING FUNCTIONAL CARTILAGINOUS TISSUE APPLICANT(S) FOR DO/EO/US Clark T. HUNG et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. \(\sqrt{}\) This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. \square An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. 14 A SECOND or SUBSEQUENT preliminary amendment. A substitute specification. 16. A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. 🔽 Other items or information: International Preliminary Examination Report; International Search Report; 11 sheets of formal drawings; Postcard Receipt

	U.S. APPLICATION NO 4164- 607186, 668	h, \$636FRI5)		TERNATIONAL APPLICATION NO			ATTORNEY'S DOC: 20076.73	KET NUMBER		
١	21. The following fees are submitted:					CAI	CULATIONS	PTO USE ONLY		
	BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):									
	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO									
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00							1		
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO									
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00							20.00	1		
		ENTER APPROPRIATE BASIC FEE AMOUNT =					0.00			
	Surcharge of \$130.00 months from the earl	Surcharge of \$130.00 for furnishing the oath or declaration later than anonths from the earliest claimed priority date (37 CFR 1.492(e)).								
	CLAIMS	NUMBER FILE		NUMBER EXTRA	RATE	\$				
	Total claims	60 - 20		40	x \$18.00		20.00			
	Independent claims	2 -3		0	x \$80.00		00			
===	MULTIPLE DEPENI		` 11		+ \$270.00		00 20.00			
		TOTAL OF ABOVE CALCULATIONS =								
Tall Hall I	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						10.00			
1	SUBTOTAL =						0.00			
-	Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).									
	TOTAL NATIONAL FEE =						0.00			
H Ilmile	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +									
	TOTAL FEES ENCLOSED =						0.00			
gred ii ji							ount to be refunded:	\$		
							charged:	\$		
	a. A check in the amount of \$\frac{410.00}{} to cover the above fees is enclosed.									
	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.									
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-3415</u> . A duplicate copy of this sheet is enclosed.									
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card									
	information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
	NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.									
	SEND ALL CORRESPONDENCE TO.									
	William H. Dippert						~ XV.	ypa		
	Cowan, Liebowitz & Latman, PC 1133 Avenue of the Americas - 35th Floor William						II. Diagraph			
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	REGISTRATION NUMBER									